Process Guide for New-Hire Expedited Review

(candidates being hired into tenure-eligible faculty positions)

Academic Affairs Manual (ACD) Policies governing expedited review: ACD506-04, ACD506-05

The expedited review process can be used to make a scholarly review of faculty members hired with tenure consistent with the scholarly review of faculty members coming through the ranks at ASU. The president may decide following this review or may call for additional information as needed.

Section 1: Offer letters

It is permissible to make an offer of a tenured position contingent on the successful res	ult of an expedited
review process. The following template should be followed in such letters: "We are plea	sed to offer you
an appointment as Associate Professor/Professor in the Department/School of	Your
appointment is for a full-time position and is categorized as tenured, contingent on the s	successful result of
an expedited tenure review process. If the expedited tenure process is not successful, under current	
policy you will be eligible for consideration for tenure no later than your year of en	nployment
(academic year)."	

Section 2: Portfolio and University Review

The unit should assemble the PDF Portfolio (see Section 3) based on the appropriate circumstances below and submit it to the college. The college will review and submit it to the Office of the Vice Provost for Academic Personnel. A signed offer letter must be received by the Office of the Vice Provost for Academic Personnel in order to proceed with the expedited review. New-hire expedited cases should be sent to the Provost's Office within 60 days of a signed offer letter.

The submitted materials must include a copy of the signed offer letter and the unit and/or college criteria.

A. Candidates at Peer/Aspirational Peer Institutions seeking tenure at current rank
Peers or aspirational peers of ASU are members of the <u>Association of American Universities</u> or University Peer Institutions (approved by ABOR in 2009), available from the college.

For candidates employed with tenure at a peer or aspirational peer institutions who currently hold the rank at which they are being hired (e.g., hired as associate professor, currently associate professor; hired as professor, currently professor), Provost and President will review:

- 1. Candidate's curriculum vitae
- 2. External reviewer letters or notes from reviewer calls
 - a. The review must include a minimum of five external reviewer letters/notes solicited from list provided by the chair/director in consultation with the dean.
 - b. Reviewers must be sent the candidate's CV for review.
 - c. Reviewer letters/notes should address research, teaching and service and must answer the guestion, "Do you recommend tenure for this appointment?"
 - d. Include dean approved and signed Record of External Reviewers Grid.
- 3. Unit/College letters
 - a. Unit chair/director's letter must reference a vote of the faculty (can be unit P&T committee or all faculty at that current level, etc.).
 - b. College dean's letter must be included.
 - c. Internal letters must address excellence in teaching, research and service. For grant-intensive areas, grant activity must be documented.

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B. Candidates at Non-Peer/Non-Peer Aspirational Institutions seeking tenure at current rank

For candidates employed with tenure at an institution that is not an official peer/aspirational peer for ASU, and who would be hired in the same rank that they now hold, a subcommittee of University Promotion and Tenure Committee, Provost, and President will review:

- 1. Candidate's curriculum vitae
- 2. External reviewer letters or notes from reviewer calls
 - a. The review must include a minimum of five external reviewer letters/notes solicited from list provided by the chair/director in consultation with the dean.
 - b. Reviewers must be sent the candidate's CV for review.
 - c. Reviewer letters/notes should address research, teaching and service and must answer the guestion, "Do you recommend tenure for this appointment?"
 - d. Include dean approved and signed Record of External Reviewers Grid.
- 3. Unit/College letters
 - a. Unit chair/director's letter must reference a vote of the faculty (can be unit P&T committee or all faculty at that current level, etc.)
 - b. College dean's letter must be included.
 - c. Internal letters must address excellence in teaching, research and service. For grant-intensive areas, grant activity must be documented.

The president may make a decision following this review or may call for additional information as needed.

C. Candidates seeking tenure and/or promotion with hire

For candidates who are not tenured at their current institution or who are requesting promotion to a higher rank than they hold at their current institution, a subcommittee of University Promotion and Tenure Committee, Provost, and President will review:

- 1. Candidate's curriculum vitae
- 2. For candidates who do not currently have tenure, four publications must be sent to external reviewers. Teaching evidence and personal statement can also be included.
- 3. External reviewer letters
 - a. The review must include a minimum of five external reviewer letters solicited from list provided by the chair/director in consultation with the dean. There should be no candidate-selected letters.
 - Reviewers must be sent the candidate's CV for review, and four publications for candidates without tenure; teaching evidence and personal statement can also be included.
 - b. Reviewer letters should address research, teaching and service and must answer the question, "Do you recommend tenure and/or promotion for this appointment?"
 - c. Procedures for the external reviewer process must be consistent with the regular (non-expedited) promotion and tenure process.
 - d. Include dean approved and signed Record of External Reviewers Grid.
- 4. Unit/College letters
 - a. Unit level faculty/committee letter.
 - b. Unit chair/director's letter.
 - c. College dean's letter.
 - d. Internal letters must address excellence in teaching, research and service. For grant-intensive areas, grant activity must be documented.

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NOTE: To accommodate the need for prompt decision-making, the college personnel committee review may be bypassed so long as there is faculty review at the department and university level.

The president may decide following this review or may call for additional information as needed.

Section 3: Portfolio Submission and Decision

A. PDF portfolio submission

- 1. All sections below are PDFs (no other formats or folders) that are combined into a PDF Portfolio. The PDF Portfolio shall include sections titled and ordered as follows:
 - 01 New Hire Expedited Review Summary Last NameFirst Initial
 - 01 a Offer Letter Last NameFirst Initial
 - 02 Curriculum Vitae Last NameFirst Initial
 - 04_Unit and College Criteria_UNIT_COLLEGE
 - 05_Internal Letters_Last NameFirst Initial (unit personnel committee (if applicable), unit chair/director, college committee (if applicable), dean) with bookmarks for each letter 06_External Reviewer Letters_Notes_Last NameFirst Initial with bookmarks for each letter/note. Include dean-approved and signed Record of External Reviewers Grid as first document.
 - 09_Publications_Creative Materials_Last NameFirst Initial with bookmarks for each publication/creative material (if applicable)

Note: for all stages, do not lock documents and do not use electronic signatures (e.g. Adobe Sign, Adobe Certificate, DocuSign, font) to sign forms. A JPEG signature will be accepted.

- PDF Portfolio should be saved and titled using the following naming convention:
 COLLEGE-UNIT-LastNameFirstName ActionAcademicYear (e.g. CLAS-PSY-SmithJane-NewHireExpeditedReview2017-18)
- 3. The PDF Portfolio should be uploaded to a secure site by the college as directed.

Decision

In all cases, the final decision on hire, rank, and tenure rests with the president. The Vice Provost for Academic Personnel will inform the Dean of the President's decision.

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